

FORM PTO-1449 (Substitute)		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number SHPR-01041USM	Serial No. 10/074,209
INFORMATION DISCLOSURE CITATION BY APPLICANT		Applicant	Taylor, et al.	
		Filing Date February 12, 2002	Group Art Unit 1711	

**INFORMATION DISCLOSURE CITATION
BY APPLICANT**

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No
1.*	WO00/10713 A1	3/2/2000	International	✓	
2.*	WO01/64349 A1	9/7/2001	International	✓	
3.*	WO01/85348 A2	11/15/2001	International	✓	
4.*	WO02/20162 A2	3/14/2002	International	✓	
5.*	WO02/20163 A2	3/14/2002	International	✓	
6.*	WO02/30574 A1	4/18/2002	International	✓	
7.*	WO02/32578 A1	4/25/2002	International	✓	
8.*	WO02/42003 A1	5/30/2002	International	✓	
9.*	WO02/066167 A1	8/29/2002	International	✓	
10.*	WO03/009944 A1	2/6/2003	International	✓	
11.*	WO03/013620 A1	2/20/2003	International	✓	
12.*	EP 0433152 A1	12/10/1990	Europe	✓	
13.*	CN 2111112 U	7/29/1972	China	✓	
14.*	CN 2138764 Y	6/27/1993	China	✓	
15.*	CN 2153231 Y	12/18/1993	China	✓	
16.*	CN 87210843 U	7/6/1988	China	✓	
17.*	GB 643,363	9/20/1950	Great Britain	✓	

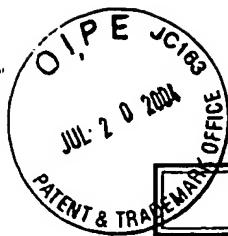
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

18.* U.S. Provisional Patent Application No. 60/104,573, filed October 16, 1998, now abandoned.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

NonAsterisked Items: Copies not submitted because they were submitted in prior application , filed , and relied upon under 35 USC §120.



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER DEVICES WITH TRAILING ELECTRODE				
Application Number:	10/074209 				
Confirmation Number:	4379				
First Named Applicant:	Charles Taylor				
Attorney Docket Number:	SHPR1041USM				
Art Unit:	1741				
Examiner:	Thao T Tran				
Search string:	(1791338 or 1869335 or 2359057 or 2509548 or 3018394 or 3026964 or 3374941 or 3518462 or 3581470 or 3638058 or 3744216 or 4092134 or 4244710 or 4253852 or 4284420 or 4318718 or 4357150 or 4509958 or 4516991 or 4686370 or 4689056 or 4726812 or 4726814 or 4781736 or 4786844 or 0315598 or 5012093 or 5024685 or 5077500 or 0033927 or 5141529 or 0329284 or 0332655 or 5183480 or 5215558 or 5248324 or 5266004 or 5290343 or 5296019 or 5316741 or 5435817 or 5437713 or 5532798 or 5535089 or 0375546 or 0377523 or 5641342 or 5669963 or 5698164 or 5702507 or 20020170435 or 20020190658 or 20040052700 or 20040065202 or 20020069760).pn.				
US Patent Documents					
Note: Applicant is not required to submit a paper copy of cited US Patent Documents					
init	Cite.No.	Patent No.	Date	Patentee	Kind
	1	1791338	1927-04-12	Wintermute	Class
	2	1869335	1932-07-26	Day	Subclass
	3	2359057	1944-09-26	Skinner	
	4	2509548	1950-05-30	White	
	5	3018394	1962-01-23	Brown	
	6	3026964	1962-03-27	Penney	
	7	3374941	1968-03-26	Okress	

8	3518462	1970-06-30	Brown
9	3581470	1971-06-01	Aitkenhead et al
10	3638058	1972-01-25	Fritzius
11	3744216	1973-07-10	Halloran
12	4092134	1978-05-30	Kikuchi
13	4244710	1981-01-13	Burger
14	4253852	1981-03-31	Adams
15	4284420	1981-08-18	Borysiak
16	4318718	1982-03-09	Utsumi et al
17	4357150	1982-11-02	Masuda et al
18	4509958	1985-04-09	Masuda et al
19	4516991	1985-05-14	Kawashima
20	4686370	1987-08-11	Blach
21	4689056	1987-08-25	Noguchi et al
22	4726812	1988-02-23	Hirth
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24	4781736	1988-11-01	Cheney, et al.
25	4786844	1988-11-22	Farrell, et al.
26	0315598	1991-03-19	Yamamoto, et al.
27	5012093	1991-04-30	Shimizu
28	5024685	1991-06-18	Torok, et al.
29	5077500	1991-12-31	Torok, et al.
30	0033927	1992-05-19	Fuzimura
31	5141529	1992-08-25	Oakley, et al.
32	0329284	1992-09-08	Patton
33	0332655	1993-01-19	Lytle
34	5183480	1993-02-12	Raterman, et al
35	5215558	1993-06-01	Moon
36	5248324	1993-09-28	Hara
37	5266004	1993-11-30	Tsumurai et al
38	5290343	1994-03-01	Morita et al
39	5296019	1994-03-22	Oakley et al
40	5316741	1994-05-31	Sewell et al
41	5435817	1995-07-25	Davis et al
42	5437713	1995-08-01	Chang
43	5532798	1996-07-02	Nakagami et al

44	5535089	1996-07-09	Ford et al
45	0375546	1996-11-12	Lee
46	0377523	1997-01-21	Marvin et al
47	5641342	1997-06-24	Smith et al
48	5669963	1997-09-23	Horton et al
49	5698164	1997-12-16	Kishioka et al
50	5702507	1997-12-30	Wang

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020170435	2002-11-21	Joannou			
	2	20020190658	2002-12-19	Lee			
	3	20040052700	2004-03-18	Kotlyar et al			
	4	20040065202	2004-04-08	Gatchell			
	5	20020069760	2002-06-13	Pruette et al			

Signature

Examiner Name	Date



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Art Unit:	1741						
Examiner:	Thao T Tran						
Search string:	(6118645 or 6126727 or 6152146 or 6163098 or 6228149 or 6282106 or 6348103 or 6362604 or 6373723 or 6447587 or 6451266 or 6464754 or 6471753 or 6504308 or 6544485 or 6585935 or 6588434 or 6603268 or 6613277 or 6632407 or 6672315 or 6709484 or 6713026 or 4789901 or 6749667 or 0389567 or 5779769 or 5911957 or 5980614 or 5997619 or 6508982 or 6603268 or 20040096376 or 20040047775 or 20030170150).pn.						
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Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
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	1	6118645	2000-09-12	Partridge			
	2	6126727	2000-10-03	Lo			
	3	6152146	2000-11-28	Taylor et al			
	4	6163098	2000-12-19	Taylor et al			
	5	6228149	2001-05-08	Alenichev et al			
	6	6282106	2001-08-28	Grass			
	7	6348103	2002-02-19	Ahlborn et al			
	8	6362604	2002-03-26	Cravey			
	9	6373723	2002-04-16	Wallgren et al			
	10	6447587	2002-09-10	Pillion et al			
	11	6451266	2002-09-17	Lau et al			

12	6464754	2002-10-15	Ford
13	6471753	2002-10-29	Ahn et al
14	6504308	2003-01-07	Krichtafovitch et al
15	6544485	2003-04-08	Taylor
16	6585935	2003-07-01	Taylor et al
17	6588434	2003-07-08	Taylor et al
18	6603268	2003-08-05	Lee
19	6613277	2003-09-02	Monagan
20	6632407	2003-10-14	Lau et al
21	6672315	2004-01-06	Taylor et al
22	6709484	2004-03-23	Lau et al
23	6713026	2004-03-30	Taylor et al
24	4789901	1988-12-06	Lee
25	6749667	2004-06-15	Reeves et al
26	0389567	1998-01-20	Gudefin
27	5779769	1998-07-14	Jiang
28	5911957	1999-05-15	Khatchatrian et al
29	5980614	1999-11-09	Loreth et al
30	5997619	1999-12-07	Knuth et al
31	6508982	2003-01-21	Shoji
32	6603268	2003-08-05	Lee

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20040096376	2004-05-20	Taylor et al			
	2	20040047775	2004-03-11	Lau et al			
	3	20030170150	2003-09-11	Lau et al			

Signature

Examiner Name	Date



Sheet 1 of 5

Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number SHPR-01041USM	Serial/Patent Number 10/074,209
Information Disclosure Statement BY APPLICANT <i>(Use several sheets if necessary)</i>		Applicant/Patent Owner Charles E. Taylor and Jim L. Lee		
		Filing/Issue Date February 12, 2002	Group Art Unit 1711	

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	1	1,791,338	02/03/31	H. A. Wintermute			4/12/27
	2	1,869,335	07/26/32	L. Day			12/13/26
	3	2,359,057	09/26/44	G. D. Skinner			02/28/42
	4	2,509,548	05/30/50	H. J. White			05/27/48
	5	3,018,394	01/23/62	T. T. Brown			07/03/57
	6	3,026,964	03/27/62	G. W. Penney			05/06/59
	7	3,374,941	03/26/68	E. C. Okress			06/30/64
	8	3,518,462	06/30/70	T. T. Brown			08/21/67
	9	3,581,470	06/01/71	Aitkenhead et al.	55	138	12/30/69
	10	3,638,058	01/25/72	Fritzius	313	63	06/08/70
	11	3,744,216	07/10/73	Halloran	55	102	08/07/70
	12	4,092,134	05/30/78	Kikuchi	55	109	06/03/76
	13	4,218,225	08/19/80	Kirchoff et al.	55	112	05/02/77
	14	4,244,710	01/13/81	Burger	55	6	05/09/78
	15	4,253,852	03/03/81	Adams	55	126	11/08/79
	16	4,284,420	08/18/81	Borysiak	55	138	08/27/79
	17	4,318,718	03/09/82	Utsumi et al.	55	121	07/14/80
	18	4,357,150	11/02/82	Masuda et al.	55	6	02/05/81
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Sheet 2 of 5

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	24	4,643,745	02/17/87	Sakakibara et al.	55	137	12/17/84
	25	4,686,370	08/11/87	Blach	250	423R	02/13/85
	26	4,689,056	08/25/87	Noguchi et al.	55	138	08/29/86
	27	4,713,724	12/15/87	Voelkel	361	231	06/30/86
	28	4,726,812	02/23/88	Hirth	55	2	03/26/87
	29	4,726,814	02/23/88	Weitman	55	11	06/27/86
	30	4,772,297	09/20/88	Anzai	55	106	08/19/86
	31	4,781,736	11/01/88	Cheney et al.	55	132	11/20/86
	32	4,786,844	11/22/88	Farrell et al.	315	111.21	03/30/87
	33	5141,715	08/25/92	Sackinger et al.	422	186.04	04/09/91
	34	5,183,480	02/02/93	Raterman et al.	55	113	10/28/91
	35	5,248,324	09/28/93	Hara	96	37	02/18/92
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	37	5,437,713	08/01/95	Chang	96	51	12/01/94
	38	5,669,963	09/23/97	Horton et al.	96	77	12/26/95
	38	5,698,164	12/16/97	Kishioka et al.	422	121	03/30/95
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Sheet 3 of 5

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	50	6,471,753 B1	10/29/02	Ahn et al.	95	27	10/25/00
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Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
	62	US 2001/0004046 A1	06/21/01	Taylor et al.
	63	US 2001/0048906 A1	12/06/01	Lau et al.
	64	US 2002/0069760 A1	06/13/02	Pruette et al.
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 Sheet 4 of 5

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Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes No
	89	60/104,574	10/22/98	Robert L. Fuhriman, Jr.	
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APR 19 2004

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93	01/85348 A2	11/15/01	WO			
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Examiner

Date Considered

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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APR 16 2004

Sheet 1 of 5

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		Filing/Issue Date February 12, 2002	Group Art Unit 1741

U.S. PATENTS

Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
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	2.	4,052,177	Oct. 4, 1977	Kide	55	139	Mar. 1, 1976
	3.	4,138,233	Feb. 6, 1979	Masuda	55	139	Jun. 16, 1977
	4.	4,209,306	Jun. 24, 1980	Feldman et al.	55	2	Nov. 13, 1978
	5.	4,231,766	Nov. 4, 1980	Spurgin	55	138	Dec. 11, 1978
	6.	4,232,355	Nov. 4, 1980	Finger et al.	361	235	Jan. 8, 1979
	7.	4,244,712	Jan. 13, 1981	Tongret	55	124	Mar. 5, 1979
	8.	4,259,452	Mar. 31, 1981	Yukuta et al.	521	52	May 15, 1979
	9.	4,266,948	May 12, 1981	Teague et al.	55	126	Jan. 4, 1980
	10.	4,282,014	Aug. 4, 1981	Winkler et al.	55	105	May 21, 1979
	11.	4,342,571	Aug. 3, 1982	Hayashi	55	137	Jun. 14, 1978
	12.	4,386,395	May 31, 1983	Francis, Jr.	363	27	Dec. 19, 1980
	13.	4,413,225	Nov. 1, 1983	Donig et al.	323	246	Jul. 17, 1981
	14.	4,445,911	May 1, 1984	Lind	55	2	Dec. 15, 1981
	15.	4,502,002	Feb. 26, 1985	Ando	323	237	Sep. 2, 1982
	16.	4,536,698	Aug. 20, 1985	Shevalenko et al.	323	237	Aug. 25, 1983
	17.	4,587,475	May 6, 1986	Finney, Jr., et al.	323	241	Jul. 25, 1983
	18.	4,600,411	Jul. 15, 1986	Santamaria	55	139	Apr. 6, 1984
	19.	4,601,733	Jul. 22, 1986	Ordines et al.	55	139	Sep. 27, 1984
	20.	4,626,261	Dec. 2, 1986	Jorgensen	55	2	Dec. 12, 1985
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	25.	4,779,182	Oct. 18, 1988	Mickal et al.	363	37	Jun. 24, 1986
	26.	4,808,200	Feb. 28, 1989	Dallhammer et al.	55	105	Nov. 12, 1987
	27.	4,940,470	Jul. 10, 1990	Jaisinghani et al.	55	2	Mar. 23, 1988
	28.	5,010,869	Apr. 30, 1991	Lee	123	539	Aug. 11, 1989
	29.	5,196,171	Mar. 23, 1993	Peltier	422	121	Mar. 11, 1991
	30.	5,217,504	Jun. 8, 1993	Johansson	55	2	Mar. 20, 1990
	31.	5,302,190	Apr. 12, 1994	Williams	95	57	Jun. 8, 1992
	32.	5,315,838	May 31, 1994	Thompson	62	129	Aug. 16, 1993
	33.	5,378,978	Jan. 3, 1995	Gallo et al.	323	241	Apr. 2, 1993
	34.	5,484,472	Jan. 16, 1996	Weinberg	96	26	Feb. 6, 1995
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	36.	5,578,112	Nov. 26, 1996	Krause	96	24	Jun. 1, 1995
	37.	5,667,564	Sep. 16, 1997	Weinberg	96	58	Aug. 14, 1996
	38.	5,814,135	Sep. 29, 1998	Weinberg	96	58	Sep. 15, 1997
	39.	5,879,435	Mar. 9, 1999	Satyapal et al.	96	16	Jan. 6, 1997
	40.	5,893,977	Apr. 13, 1999	Pucci	210	739	May 12, 1997
	41.	5,972,076	Oct. 26, 1999	Nichols et al.	95	81	Sep. 28, 1998
	42.	6,019,815	Feb. 1, 2000	Satyapal et al.	95	74	Sep. 11, 1998
	43.	6,042,637	Mar. 28, 2000	Weinberg	96	58	Sep. 28, 1998
	44.	6,063,168	May 16, 2000	Nichols et al.	96	80	Aug. 11, 1997
	45.	6,086,657	Jul. 11, 2000	Freije	95	2	Feb. 16, 1999
	46.	6,149,717	Nov. 21, 2000	Satyapal et al.	96	16	Dec. 22, 1998
	47.	6,149,815	Nov. 21, 2000	Sauter	210	635	Nov. 23, 1999
	48.	6,182,461 B1	Feb. 6, 2001	Washburn et al.	62	264	Jul. 16, 1999
	49.	6,193,852 B1	Feb. 27, 2001	Caracciolo et al.	204	176	May 28, 1997
	50.	6,212,883 B1	Apr. 10, 2001	Kang	60	275	Mar. 3, 2000

APR 16 2004

Sheet 3 of 5

U.S. PATENTS

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	51.	6,252,012 B1	Jun. 26, 2001	Egitto et al.	525	431	Aug. 30, 1999
	52.	6,270,733 B1	Aug. 7, 2001	Rodden	422	186.07	Feb. 17, 2000
	53.	6,277,248 B1	Aug. 21, 2001	Ishioka et al.	204	176	Jan. 5, 2000
	54.	D449,097 S	Oct. 9, 2001	Smith et al.	D23	364	May 1, 2000
	55.	6,302,944 B1	Oct. 16, 2001	Hoenig	96	16	Apr. 18, 2000
	56.	D449,679 S	Oct. 23, 2001	Smith et al.	D23	365	May 1, 2000
	57.	6,309,514 B1	Oct. 30, 2001	Conrad et al.	204	164	Nov. 7, 1994
	58.	6,312,507 B1	Nov. 6, 2001	Taylor et al.	96	19	Feb. 12, 1999
	59.	6,315,821 B1	Nov. 13, 2001	Pillion et al.	96	416	Jan. 11, 2001
	60.	6,328,791 B1	Dec. 11, 2001	Pillion et al.	96	418	May 3, 2000
	61.	6,350,417 B1	Feb. 26, 2002	Lau et al.	422	186.04	May 4, 2000
	62.	6,372,097 B1	Apr. 16, 2002	Chen	204	176	Apr. 14, 2000
	63.	6,379,427 B1	Apr. 30, 2002	Siess	95	57	Dec. 6, 1999
	64.	6,391,259 B1	May 21, 2002	Malkin et al.	422	28	Jun. 26, 1997

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Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes No
	65.	09/669,253	Sep. 25, 2000	Taylor et al.	X
	66.	09/669,268	Sep. 25, 2000	Taylor et al.	X
	67.	09/730,499	Dec. 5, 2000	Taylor et al.	X
	68.	09/742,814	Dec. 19, 2000	Taylor et al.	X
	69.	09/774,198	Jan. 29, 2001	Taylor	X
	70.	60/306,479	July 18, 2001	Taylor	X

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Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes No
	71.	09/924,624	Aug. 8, 2001	Taylor et al.	X
	72.	09/924,600	Aug. 8, 2001	Taylor et al.	X
	73.	09/669,268	Sep. 25, 2000	Taylor et al.	X
	74.	09/730,499	Dec. 5, 2000	Taylor et al.	X
	75.	09/742,814	Dec. 19, 2000	Taylor et al.	X
	76.	09/774,198	Jan. 29, 2001	Taylor	X
	77.	09/924,624	Aug. 8, 2001	Taylor et al.	X
	78.	09/924,600	Aug. 8, 2001	Taylor et al.	X
	79.	60/341,179	Dec. 13, 2001	Taylor et al.	X
	80.	60/340,702	Dec. 13, 2001	Taylor et al.	X
	81.	60/341,377	Dec. 13, 2001	Taylor et al.	X
	82.	60/341,518	Dec. 13, 2001	Taylor	X
	83.	60/340,288	Dec. 13, 2001	Taylor	X
	84.	60/341,176	Dec. 13, 2001	Taylor	X
	85.	60/340,462	Dec. 13, 2001	Taylor	X
	86.	60/341,090	Dec. 13, 2001	Taylor	X
	87.	60/341,433	Dec. 13, 2001	Taylor	X
	88.	60/341,592	Dec. 13, 2001	Taylor	X
	89.	60/341,320	Dec. 13, 2001	Taylor	X
	90.	10/023,197	Dec. 13, 2001	Taylor et al.	X
	91.	10/023,460	Dec. 13, 2001	Taylor et al.	X
	92.	10/074,082	Feb. 12, 2001	Taylor et al.	X
	93.	10/074,207	Feb. 12, 2002	Taylor et al.	X
	94.	10/074,208	Feb. 12, 2002	Taylor	X
	95.	10/074,339	Feb. 12, 2002	Taylor et al.	X
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APR 16 2004

Sheet 5 of 6

PREGIT & TRANT

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	97.	10/074,549	Feb. 12, 2002	Sinaiko et al.	X
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	99.	10/074,096	Feb. 12, 2002	Taylor et al.	X
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	102.	10/156,158	May 28, 2002	Taylor et al.	X
	103.	60/391,070	Jun. 6, 2002	Reeves	X
	104.	10/188,668	Jul. 2, 2002	Taylor et al.	X

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	106.	WO 01/48781 A1	Jul. 5, 2001	PCT			✓
	107.	FR 2690509	Oct. 29, 1993	France			✓
	108.	JP 10137007	May 26, 1998	Japan			✓
	109.	JP 11104223	Apr. 20, 1999	Japan			✓
	110.	JP 2000236914	Sept. 5, 2000	Japan			✓

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

111. LENTEK Sila™ Plug-In Air Purifier/Deodorizer product box copyrighted 1999.

Examiner	Date Considered

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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